
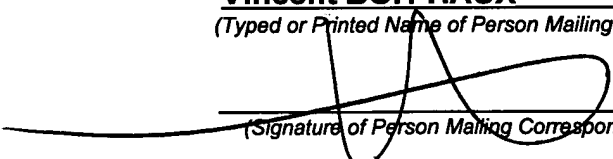


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| Form PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE<br>REV.10-94)   |  | ATTORNEYS DOCKET NUMBER<br><b>RN99060</b>  |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/elected office (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371  |  | U.S. APPLICATION NO. (IF KNOWN, SEE 37CFR 1.5)<br><b>09/980387</b><br>NOT APPLICABLE |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/FR00/01438</b>  | INTERNATIONAL FILING DATE<br><b>May 26, 2000</b> | PRIORITY DATE CLAIMED<br><b>June 04, 1999</b>  |
| TITLE OF INVENTION<br><b>PROCESS FOR SYNTHESIZING POLYMERS BY CONTROLLED FREE-RADICAL<br/>POLYMERIZATION WITH THE AID OF HALOGENATED XANTHATES</b>  |  |  |
| APPLICANT (S) FOR DO/EO/US:<br><b>Mathias DESTARAC, Dominique CHARMOT, Samir ZARD and Xavier FRANCK</b>   |  |  |
| Applicant herewith submits the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |  |
| 1 <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U S C 371<br>2 <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U S C 371<br>3 <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U S C 371) (f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U S C 371 (b) and PCT Articles 22 and 39(1)<br>4 <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date<br>5 <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U S C 371(c)(2))<br>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).<br>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.<br>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)<br>6 <input checked="" type="checkbox"/> A translation of the International Application into English (35 U S C 371(c)(2)).<br>7 <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210)<br>8 <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U S C 371(c)(2)).<br>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau)<br>b. <input type="checkbox"/> have been transmitted by the International Bureau<br>c. <input type="checkbox"/> have not been made, however the time limit for making such amendments has NOT expired.<br>d. <input checked="" type="checkbox"/> have not been made and will not be made.<br>9 <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U S C 371(c)(3)).<br>10 <input checked="" type="checkbox"/> A oath or declaration of the inventor(s) (35 U S C 371(c)(4)). <b>(unsigned)</b><br>11 <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).<br>12 <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U S C 371(c)(5)).<br><b>Items 13 to 18 below concern document(s) or information included:</b><br>13 <input type="checkbox"/> An information Disclosure Statement under 37 CFR 1.97 and 1.98<br>14 <input type="checkbox"/> An assignment document for recording A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included<br>15 <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment<br>16 <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment<br>17 <input type="checkbox"/> A substitute specification.<br>18 <input type="checkbox"/> A change of power of attorney and/or address letter<br>19 <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail<br>20 <input checked="" type="checkbox"/> Other items or information |  |  |
| Forms PCT/IB/308 and 332, PCT/ISA/ 210 (English & French Versions),<br>PCT/IPEA/ 409, PCT/FR00/01438 as published   |  |  |

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|--|--|--|-----------|--|---------|--|
| US APPLICATION NO. <b>09/980387</b>  | International Application No.<br><b>PCT/FR00/01438</b> | ATTORNEY DOCKET NUMBER<br><b>RN99060</b>   |           |  |         |  |
|  |  | <b>CALCULATIONS PTO ONLY</b>   |           |  |         |  |
| 21 The following fees are submitted:<br><b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b><br><input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1,482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1,040.00<br><br><input checked="" type="checkbox"/> International preliminary examination fee not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$ 890.00<br><br><input type="checkbox"/> International preliminary examination fee (37 CFR 1,482) not paid to USPTO but international search (37 CFR 1,445 (a)(2)) fee paid to USPTO..... \$ 740.00<br><br><input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1,482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$ 710.00<br><br><input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1,482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 100.00<br><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b> |  | <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%; text-align: right;">\$ 890.00</td> <td style="width:50%;"></td> </tr> <tr> <td style="text-align: right;">\$ 0.00</td> <td></td> </tr> </table> | \$ 890.00 |  | \$ 0.00 |  |
| \$ 890.00  |  |  |           |  |         |  |
| \$ 0.00  |  |  |           |  |         |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1,492(e)).  |  |  |           |  |         |  |
| CLAIMS   | NUMBER FILED   | NUMBER EXTRA   |           |  |         |  |
| Total Claims   | 19-20=   | 0  |           |  |         |  |
| Independent Claims   | 3-3=   | 0  |           |  |         |  |
| MULTIPLE DEPENDENT CLAIMS(S) (if applicable) N/A   |  | <input type="checkbox"/>   |           |  |         |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |  | \$ 890.00  |           |  |         |  |
| Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) <input type="checkbox"/>  |  | \$ 0.00  |           |  |         |  |
| <b>SUBTOTAL =</b>  |  | \$ 890.00  |           |  |         |  |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1,492 (f)).  |  | \$ 0.00  |           |  |         |  |
| <b>TOTAL NATIONAL FEE =</b>  |  | \$ 890.00  |           |  |         |  |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + <input type="checkbox"/>  |  | \$ 0.00  |           |  |         |  |
| <b>TOTAL FEES ENCLOSED =</b>   |  | \$ 890.00  |           |  |         |  |
|  |  | Amount to be refunded \$   |           |  |         |  |
|  |  | charged \$   |           |  |         |  |
| a <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed<br>b <input checked="" type="checkbox"/> Please charge my Deposit Account No. <b>18-1171</b> in the amount of <b>\$890.00</b> to cover the above fees.<br>c <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>18-1171</b> A duplicate copy of this sheet is enclosed.   |  |  |           |  |         |  |
| NOTE: Where an appropriate time limit under 37 CFR 1,494 or 1,495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.   |  |  |           |  |         |  |
| SEND ALL CORRESPONDENCE TO:<br><b>John Daniel WOOD</b><br>RHODIA INC.<br>259 Prospect Plain Road<br>CN 7500<br>Cranbury, NJ 08512<br>November 30, 2001<br>DATE   |  |  |           |  |         |  |
| <br>SIGNATURE<br><b>John Daniel WOOD</b><br>NAME<br>31,146<br>REGISTRATION NUMBER  |  |  |           |  |         |  |

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|---|------------------------------------|-----------------------------------|---|
| <b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b><br>Applicant(s) <b>Mathias DESTARAC, Dominique CHARMOT, Samir ZARD and Xavier FRANCK</b>  |                                    |                                   | Docket No.<br><b>RN99060</b>            |
| Serial No.<br><b>National Phase of<br/>         PCT/FR00/01438<br/>         Intern. Filing date<br/>         May 26, 2000</b>   | Filing Date<br><b>May 26, 2000</b> | Examiner<br><b>To be assigned</b> | Group Art Unit<br><b>To be assigned</b> |
| <b>TITLE OF INVENTION</b><br><b>PROCESS FOR SYNTHESIZING POLYMERS BY CONTROLLED FREE-RADICAL POLYMERIZATION WITH THE AID OF HALOGENATED XANTHATES</b>   |                                    |                                   |   |
| I hereby certify that this Transmittal letter sending documents referred to herein, Specification with abstract ( 39 pages), Drawings (2 pages), Declaration/ Power of Attorney unsigned, Preliminary Amendment (8 pages), Forms PCT/IB/ 308 and 332, PCT/IPEA/409 and 416, Forms PCT/ISA/210 (English & French Version), PCT/FR00/01438 as published   |                                    |                                   |   |
| <p align="center"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: U.S. Patent and Trademark Office, Box PCT, P.O. Box 2327, Arlington, VA. 22202, on <u>November 30, 2001.</u></p> <p align="center"><i>(Date)</i></p> <p align="center"><b>Vincent BOITTIAUX</b><br/> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <p align="center"><br/> <i>(Signature of Person Mailing Correspondence)</i></p> <p align="center"><b>EL822429093US</b><br/> <i>("Express Mail" Mailing Label Number)</i></p> <p align="center"><b>Note: Each Paper must have its own certificate of mailing.</b></p> |                                    |                                   |   |